

B210A (Form 210A) (12/09)

IN THE UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

In re Lehman Brothers Holdings Inc.

Case No. 08-13555

**PARTIAL TRANSFER OF CLAIM OTHER THAN FOR SECURITY**

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the partial transfer, other than for security, of an undivided interest in the claim referenced in this evidence and notice.

**SOUTHEY CAPITAL LTD**

Name of Transferee

Name and Address where notices to transferee  
should be sent:

Southey Capital Ltd  
Suite 17  
Ensign House, Admirals Way  
London, E14 9XQ

**HSBC PRIVATE BANK MONACO SA**

Name of Transferor

Court Claim # :55393

**Total Amount of Claim Filed with respect  
to isin:**

- XS0353301947  
250'000 USD equivalent to 250'000 USD +  
indeterminate amount of interests

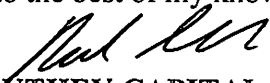
**Amount of Claim Transferred:****ISIN/CUSIP: XS00353301947**

Blocking Number: 55393

Date Claim Filed: October 29, 2009

250'000 USD equivalent to 250'000 USD +  
indeterminate amount of interests

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

  
By: SOUTHEY CAPITAL LTD  
Transferee/Transferee's Agent

Date: 4 April 18

Form 210B (12/09)

IN THE UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

In re Lehman Brothers Holdings Inc.

Case No. 08-13555

NOTICE OF PARTIAL TRANSFER OF CLAIM  
OTHER THAN FOR SECURITY

Claim No. 56649 was filed or deemed filed under 11 U.S.C. § 1111(a) in this case by the alleged transferor. As evidence of the transfer of that claim, the transferee filed a Partial Transfer of Claim Other than for Security in the clerk's office of this court on October [3], 2012.

HSBC PRIVATE BANK MONACO S.A.

SOUTHEY CAPITAL LTD

Name of Alleged Transferor

Name of Transferee

Address of Alleged Transferor:

Address of Transferee:

17 AVENUE D'OSTENDE  
MC 98000 MONACO

Suite 17  
Ensign House, Admirals Way  
London, E14 9XQ

Attn: Gérome VICENTE  
Phone: +377 93 15 24 23

Attn: Robert SOUTHEY  
Phone : +44 (0) 203 745 7941

Email: [Gerome.VICENTE@hsbcpb.com](mailto:Gerome.VICENTE@hsbcpb.com)

Email : [rs@southeycapital.com](mailto:rs@southeycapital.com)

**~ DEADLINE TO OBJECT TO TRANSFER ~**

The alleged transferor of the claim is hereby notified that objections must be filed with the court within twenty-one (21) days of the mailing of this notice. If no objection is timely received by the court, the transferee will be substituted as the original claimant without further order of the court.

Date: \_\_\_\_\_

\_\_\_\_\_  
CLERK OF THE COURT



BY AIR MAIL  
par avion  
Royal Mail®



Lehman Brothers Holdings Claim Processing

c/o Epig Bankruptcy Solutions, LLC

FDR Station

PO BOX 5076

New York NY

10150-5076

USA